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PTO/SB/21 (09-04)

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TRANSMITTAL  
FORM

(To be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number	10/039,956
Filing Date	October 23, 2001
First Named Inventor	Melissa K. Carpenter, et al.
Art Unit	1632
Examiner Name	Thaian N. Ton
Attorney Docket Number	091/009C

## ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form  
☐ Fee Attached  
☐ Amendment/Reply  
☐ After Final  
☐ Affidavits/declaration(s)  
☐ Extension of Time Request  
☐ Express Abandonment Request  
☐ Information Disclosure Statement  
  
☐ Certified Copy of Priority Document(s)  
☐ Reply to Missing Parts/  
Incomplete Application  
☐ Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)  
☐ Licensing-related Papers  
  
☐ Petition  
☐ Petition to Convert to a  
Provisional Application  
☐ Power of Attorney, Revocation  
Change of Correspondence Address  
☐ Terminal Disclaimer  
☐ Request for Refund  
☐ CD, Number of CD(s) \_\_\_\_\_  
☐ Landscape Table on CD

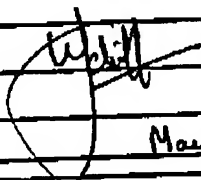
- ☐ After Allowance Communication to TC  
☐ Appeal Communication to Board  
of Appeals and Interferences  
☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)  
☐ Proprietary Information  
☐ Status Letter  
☒ Other Enclosure(s) (please identify  
below):

## Remarks

Request for Corrected Filing Receipt (2 pages) with copy of Corrected Filing Receipt marked  
with changes made (2 pages)

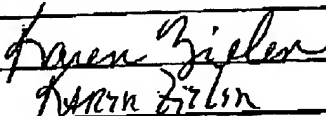
\*\*\*last page marker (1 page)\*\*\*

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Geron Corporation		
Signature			
Printed name	J. Michael Schiff		
Date	May 18/05	Reg. No.	40,253

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with  
sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on  
the date shown below:

Signature		Date	May 20, 2005
Typed or printed name	Karen Zierlin		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Karen Zielen  
Name

May 20 2005  
Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Melissa K. Carpenter, *et al.*

Filing Date: October 23, 2001

Serial No.: 10/039,956

Docket: 091/009C

For: USE OF HUMAN EMBRYONIC STEM CELLS FOR  
DRUG SCREENING AND TOXICITY TESTING

Examiner: Thái-An N. Ton

Art Unit: 1632

## REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a copy of the Corrected Filing Receipt marked to show the corrections that are needed. The corrections are as follows:

Please change the Applicants to the following:

Melissa K. Carpenter, London, Ontario, Canada; Margaret S. Inokuma, San Jose, CA;  
Chunhui Xu, Palo Alto, CA.

This request for Applicant change is made pursuant to the Petition Under 37 CFR § 1.48(b) to Change Inventorship filed with the Patent Office on September 15, 2004.

PATENT  
10/039,956  
Docket: 091/009C

Please correct the Domestic Priority Data to the following:

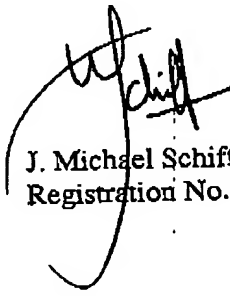
This application is a continuation of USSN 09/859,291, filed May 16, 2001; which is a continuation of PCT/US01/01030, designating the U.S., filed January 10, 2001 (published as WO 01/51616 on July 19, 2001); which is a continuation-in-part of USSN 09/688,031 filed October 10, 2000 (now U.S. Patent 6,667,176); and claims priority to USSN 60/175,581, filed January 11, 2000; USSN 60/213,740, filed June 22, 2000; USSN 60/213,739, filed June 22, 2000; USSN 60/216,387, filed July 7, 2000; and USSN 60/220,064, filed July 21, 2000.

This request for Domestic Priority Data change is made pursuant to the Amendment and Response to Office Action Under 37 CFR § 1.111 filed with the Patent Office on May 24, 2004.

No fee is believed due with respect to the filing of this paper. However, should the Patent Office determine that a fee is required for consideration of this paper, applicants hereby petition for such relief, and authorizes the ~~Assistant~~ Commissioner to charge any fees due to Deposit Account No. 07-1139, referencing the docket number indicated above.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,



J. Michael Schiff  
Registration No. 40,253

GERON CORPORATION  
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May 19, 2005

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T-699 P.004/006 F-040

Page 1 of 2

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/039,956	10/23/2001	1632	934	091/009C	15	36	13

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CONFIRMATION NO. 1602

022869

GERON CORPORATION  
230 CONSTITUTION DRIVE  
MENLO PARK, CA 94025

GERON IP GROUP

JUL 21 2004  
GERON CORPORATION  
PATENT DEPARTMENT

CORRECTED FILING RECEIPT

\*OC000000013248435\*

JUL 21 2004

Date Mailed: 07/15/2004

DOCKETS

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~Melissa K. Carpenter, Castro Valley, CA;~~  
~~Walter D. Funk, Hayward, CA;~~  
~~Joseph D. Gold, San Francisco, CA;~~  
~~Margaret S. Inokuma, San Jose, CA;~~  
~~Chunhui Xu, Cupertino, CA;~~ *Palo Alto, CA*

## Domestic Priority data as claimed by applicant

This application is a CON of 09/859,291 05/16/2001,  
 and claims benefit of 60/175,581 01/11/2000  
 and claims benefit of 60/213,740 06/22/2000  
 and claims benefit of 60/213,739 06/22/2000  
 and claims benefit of 60/216,387 07/07/2000  
 and claims benefit of 60/220,064 07/21/2000

*which is continuation of  
 PCT/US01/01030, designating  
 the U.S., filed January 10,  
 2001 (published as WO 01/51616  
 on July 19, 2001); which is  
 continuation-in-part of USSN  
 09/688,031 filed October 10,  
 2000 (now USP 6,667,176)*

## Foreign Applications

UNITED STATES OF AMERICA PCT/US01/01030 01/10/2001

If Required, Foreign Filing License Granted: 04/10/2002

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

Title

Use of human embryonic stem cells for drug screening and toxicity testing

Preliminary Class

435

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**geron**

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*Facsimile Transmittal Sheet*

**LAST PAGE**

**USSN 10/039,956**

**Attorney Docket 091/009C**